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In re Application of

Melchi et al.

Application No.: 10/553,996

PCT No.: PCT/IT04/00217

Int. Filing Date: 15 April 2004

Priority Date: 22 April 2003

Attorney Docket No.: 2507-1074

For: Automatic Detection Of Skin Lesions

**DECISION** 

ON

**PETITION** 

This is in response to the petition under 37 CFR 1.47(a) filed on 02 March 2007.

## **BACKGROUND**

This international application was filed on 15 April 2004, claimed an earlier priority date of 22 April 2003, and designated the U.S. The International Bureau transmitted a copy of the published international application to the USPTO on 04 November 2004. The 30 month time period for paying the basic national fee in the United States expired at midnight on 24 October 2005 (since 22 October 2005 was a Saturday). Applicants filed *inter alia* the basic national fee on 21 October 2005.

On 02 October 2006, a Notification of Missing Requirements (Form PCT/DO/EO/905) was mailed to applicants, requiring the submission of an executed oath or declaration compliant with 37 CFR 1.497(a) and (b).

## **DISCUSSION**

A petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR 1.17(h), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and on behalf of the non-signing joint inventor.

Regarding requirement (1), the \$200.00 petition fee was paid on 02 March 2007.

Regarding **requirement (2)**, petitioner urges that the absence on the declaration of the signature of joint inventor Carmelo Francesco Melchi be excused because he allegedly "has refused to execute the application papers." Counsel's attention is respectfully drawn to MPEP 409.03(d), which states in part:

A refusal by an inventor to sign an oath or declaration when the inventor has not been presented with the application papers does not itself suggest that the inventor is refusing to join the application unless it is clear that the inventor understands exactly what he or she is being asked to sign and refuses to accept the application

papers. A copy of the application papers should be sent to the last known address of the nonsigning inventor, or, if the nonsigning inventor is represented by counsel, to the address of the nonsigning inventor's attorney. The fact that an application may contain proprietary information does not relieve the 37 CFR 1.47 applicant of the responsibility to present the application papers to the inventor if the inventor is willing to receive the papers in order to sign the oath or declaration. It is noted that the inventor may obtain a complete copy of the application, unless the inventor has assigned his or her interest in the application, and the assignee has requested that the inventor not be permitted access. See MPEP § 106. It is reasonable to require that the inventor be presented with the application papers before a petition under 37 CFR 1.47 is granted since such a procedure ensures that the inventor is apprised of the application to which the oath or declaration is directed. In re Gray, 115 USPQ 80 (Comm'r Pat. 1956).

Where a refusal of the inventor to sign the application papers is alleged, the circumstances of the presentation of the application papers and of the refusal must be specified in a statement of facts by the person who presented the inventor with the application papers and/or to whom the refusal was made. Statements by a party not present when an oral refusal is made will not be accepted. Proof that a bona fide attempt was made to present a copy of the application papers (specification, including claims, drawings, and oath or declaration) to the nonsigning inventor for signature, but the inventor refused to accept delivery of the papers or expressly stated that the application papers should not be sent, may be sufficient. When there is an express oral refusal, that fact along with the time and place of the refusal must be stated in the statement of facts. When there is an express written refusal, a copy of the document evidencing that refusal must be made part of the statement of facts. The document may be redacted to remove material not related to the inventor's reasons for refusal. When it is concluded by the 37 CFR 1.47 applicant that a nonsigning inventor's conduct constitutes a refusal, all facts upon which that conclusion is based should be stated in the statement of facts in support of the petition or directly in the petition. If there is documentary evidence to support facts alleged in the petition or in any statement of facts, such evidence should be submitted. Whenever a nonsigning inventor gives a reason for refusing to sign the application oath or declaration, that reason should be stated in the petition

Review of the petition and accompanying evidentiary documentation establishes that Mr. Melchi has refused to execute the application within the meaning of 37 CFR 1.47(a). Therefore, requirement (2) has been satisfied.

Regarding requirement (3), the petition includes a statement of Mr. Melchi's last known address. Accordingly, requirement (3) has been satisfied.

Regarding requirement (4), the declaration filed on 02 March 2007 has been signed by joint inventor Oscar Bellerino on behalf of himself and non-signing joint inventor Carmelo Francesco Melchi. It is observed that the ordering of names appearing on the declaration document reflects the ordering appearing in the published international application, but the commas have been omitted. However, it is clear from inspection of the declaration that the inventors' surnames are MELCHI and BELLERINO, as these names have been capitalized in full. This declaration is acceptable for purposes of compliance with 37 CFR 1.497(a) and (b). As such, requirement (4) has been satisfied.

## **DECISION**

The petition under 37 CFR 1.47(a) is **GRANTED**.

As provided in 37 CFR 1.47(a), a notice of the filing of this application will be forwarded to the non-signing inventor at his last known address of record.

A notice of the filing of the application under 37 CFR 1.47(a) will be published in the Official Gazette.

The application is being returned to the National Stage Processing Branch for processing as the U.S. National Stage of the above-identified international application. Its date under 35 U.S.C. 371(c)(1), (2) and (4) is <u>02 March 2007</u>.

George Dombroske PCT Legal Examiner

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0 2 APR 2007

Carmelo Francesco MELCHI Via della Balduina, 120 I-00136 ROMA **ITALY** 

In re Application of Melchi et al.

Application No.: 10/553,996 PCT No.: PCT/IT04/00217 Int. Filing Date: 15 April 2004 Priority Date: 22 April 2003

For: Automatic Detection Of Skin Lesions

Dear Dr. Melchi:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to the undersigned at (571) 272-3283. Requests for information regarding your application should be directed to the File Information Unit at (703) 308-2733. Requests for information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1(800) 972-6382 (outside the Washington D.C. area).

Any further correspondence with respect to this matter should be addressed to the Assistant Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

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## OFFICIAL GAZETTE NOTICE

37 CFR 1.47 Notice by Publication

Notice is hereby given of the filing of an application with a petition under 37 CFR 1.47 requesting acceptance of the application without the signature of a joint inventor. The petition has been granted. A notice has been sent to the last known address of the non-signing inventor. The inventor whose signature is missing (Dr. Carmelo Francesco MELCHI) may join in the application by promptly filing an appropriate oath or declaration complying with 37 CFR 1.63. The international application number is PCT/IT04/00217 and was filed on April 15, 2004 in the names of Carmelo Francesco MELCHI and Oscar BELLERINO for the invention entitled AUTOMATIC DETECTION OF SKIN LESIONS. The national stage application number is 10/553,996 and has a 35 U.S.C. 371(c)(1), (2) and (4) date of March 2, 2007.